

Jul. 5. 2007 1:47PM

No. 4021 P. 1

**D. PETER HOCHBERG CO., L.P.A.**

ATTORNEYS AT LAW

1940 EAST 6TH STREET  
CLEVELAND, OHIO 44114-2294D. PETER HOCHBERG\*  
SEAN F. MELLINO

DANIEL J. SMOLA

IAN M. SINGER\*\*\*

OF COUNSEL

\*ALSO ADMITTED IN  
VIRGINIA AND NEW YORK

\*\*\*ADMITTED IN NEW YORK ONLY

INTELLECTUAL PROPERTY LAW

PATENT TRADEMARK  
AND COPYRIGHT LAW

TEL.: (216) 771-3800

FAX: (216) 771-3804

e-mail: dphochberg@aol.com

January 23, 2007

**VIA FACSIMILE ONLY (571) 273-8300****4 PAGES TOTAL****URGENT**U.S. Patent and Trademark Office  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450**7/5/2007 - SECOND REQUEST  
FOR CORRECTED FILING RECEIPT**Re: U.S. Patent Application No. 10/579,809  
Title: *DEVICE FOR TRANSDERMAL ADMINISTRATION OF  
ACTIVE SUBSTANCES*  
Filing Date: May 16, 2006  
Our Ref. RO4243US (#90568)

To Whom It May Concern:

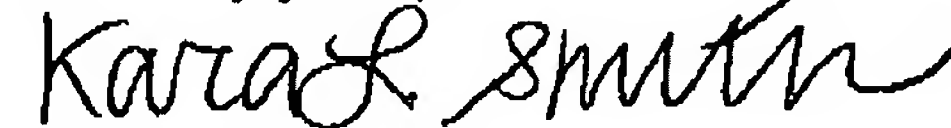
We have received the attached Filing Receipt for the above-referenced patent application. Unfortunately, there is a typographical error on the Filing Receipt. This error is not due to our fault.

The documents that were submitted to the USPTO for this application showed the correct spelling of the assignee's city of residence.

Please correct the following error: under the Assignment For Published Patent Application section, please delete the "m" in Andermach and replace it with the letter "n". The correct spelling is Andernach.

We look forward to receiving the corrected Filing Receipt in due course.

Sincerely yours,



Kara L. Smith

KLS/ks  
Attachment

Jul. 5. 2007 1:47PM

No. 4021 P. 2



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/579,809	05/16/2006	1615	900	RO4243US (#90568)	2	14	1

28672  
D. PETER HOCHBERG CO. L.P.A.  
1940 EAST 6TH STREET  
CLEVELAND, OH 44114

CONFIRMATION NO. 5957

FILING RECEIPT

\*OC000000021974995\*

Date Mailed: 01/16/2007

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Michael Horstmann, Neuwied, GERMANY;

Assignment For Published Patent Application

LTS Lohmann Therapie-Systeme AG, Andermach GERMANY

→ ANDERNACH =

Power of Attorney: The patent practitioners associated with Customer Number 28672.

Domestic Priority data as claimed by applicant

This application is a 371 of PCT/EP04/12458 11/04/2004

Foreign Applications

GERMANY 103 53 629.9 11/17/2003

If Required, Foreign Filing License Granted: 01/11/2007

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US10/579,809**

Projected Publication Date: 04/19/2007

Non-Publication Request: No

Early Publication Request: No

**Title**

Device for transdermal administration of active substances

**Preliminary Class**

424

**PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES**

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process simplifies the filing of patent applications on the same invention in member countries, but does not result in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at <http://www.uspto.gov/web/offices/pac/doc/general/index.html>.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, <http://www.stopfakes.gov>. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

---

**LICENSE FOR FOREIGN FILING UNDER**  
**Title 35, United States Code, Section 184**  
**Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

Attorney Docket No. RO4243US (#90568)

PATENT OR DESIGN: SOLE OR JOINT  
(U.S. Rights Only)

**ASSIGNMENT FOR UNFILED APPLICATION  
FOR UNITED STATES PATENT  
(Sole or Joint Inventors)**

WHEREAS:

**NAME(S) AND ADDRESS(S)  
OF INVENTOR(S)**  
(including country)

Michael Horstmann  
Friedrich-Karl-Strasse 9  
56564 Neuwied, Germany

A citizen of Germany

(hereinafter referred to as ASSIGNOR), have invented and own a certain invention entitled:

**TITLE OF INVENTION**

**Device for Transdermal Administration of Active Substances**

for which application for Letters Patent of the United States has been executed on even date herewith;  
and

WHEREAS:

**NAME AND ADDRESS  
OF ASSIGNEE**  
(including country)

LTS Lohmann Therapie-Systeme AG  
Lohmannstrasse 2  
56626 Andernach Germany

a corporation of Germany

(hereinafter referred to as ASSIGNEE), is desirous of acquiring the entire interest in, to and under said invention and in, to and under Letters Patent or similar legal protection to be obtained therefor in the United States.

NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN:

Be it known that in consideration of the payment by ASSIGNEE to ASSIGNOR of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable